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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/800,118
	Filing Date	March 12, 2004
	First Named Inventor	Michael T. Willard
	Art Unit	3612
	Examiner Name	Joseph D. Pape
Total Number of Pages in This Submission	Attorney Docket Number	5362-000478

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form 1449; copies of 44 foreign documents; copies of 97 other documents; and return postcard.		
<table border="1"><tr><td>Remarks</td><td>The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-0275. A duplicate copy of this sheet is enclosed.</td></tr></table>			Remarks	The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-0275. A duplicate copy of this sheet is enclosed.
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name	Jeffrey H. Urian	Reg. No.	46,232
Signature					
Date	October 11, 2005				

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Jeffrey H. Urian	Express Mail Label No.	EV 717 344 857 US (10/11/2005)
Signature		Date	October 11, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EV 717 344 857 US



10-12-05

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/800,118
Filing Date: March 12, 2004
Applicant: Michael T. Willard
Group Art Unit: 3612
Examiner: Joseph D. Pape
Title: Convertible Hardtop Roof
Attorney Docket: 5362-000478

Director of the United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. COPIES

A. ☒ Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) each unpublished U.S. application listed below in Section IV (i.e., including the specification, claims, and any drawing of the application, or that portion of the application which caused it to be listed, including any claims directed to that portion), except for such applications filed on or after June 30, 2003, pursuant to the Waiver of the Copy Requirement in 37 C.F.R. 1.98 (OG Notice dated October 19, 2004); and (iv) all other information or that portion which caused it to be listed.

B. ☐ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

C. ☐ This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search report are listed on the attached Form 1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g).)

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

A. ☐ Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).

B. ☒ A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):

1. ☐ See the attached foreign patent office communication from a counterpart foreign application:

2. ☒ English translations are provided (Abstracts only):

CH650980; DE3416286; DE3635887; DE3733892; DE3816060; DE4316485; DE4320603; DE4324708; DE4438191; DE4438190; DE4431656; DE3635373; DE4415969; DE4445580; DE4445941; DE4445944; DE4446483; DE19517063; DE4445920; DE19514022; DE19518071; DE19532568; DE19532567; EP805229; EP0261379; EP0188774; EP0494366; EP0429006; FR2818931; GB413467; GB756531; JP62120222; and JP2144226.

3. ☒ Other:

BE 493260: This patent appears to disclose a retractable hardtop roof movable in combination with a track into a trunk.

CH 650980: This patent appears to disclose a roof having at least a rigid section that can be rotatably or slidably stowed into an automotive vehicle.

DE646381: This patent appears to disclose a folding hard top convertible roof.

DE1505721: This patent appears to disclose a latching mechanism.

DE1755619: This patent appears to disclose a latching mechanism.

DE1505474: This patent appears to show a retractable roof.

DE9108242: This patent appears to disclose a convertible roof linkage system for foldably stowing a roof within an automotive vehicle.

FR1049026: This patent appears to show a multi-section hardtop convertible roof and backlite.

FR1049026: This patent appears to disclose a multi-section hardtop convertible roof and backlite.

GB413467: This patent appears to show a foldable hood for vehicles.

GB536578: This patent appears to relate to a sash window.

GB352786: The object of this invention is to provide a lock or catch in conjunction with the movable head fitting which will hold the fitting securely in the closed position, but will be automatically released by the operation of the normal mechanism for lowering the fitting when it is desired to remove a head fitting to open the car.

GB756531: This patent appears to disclose rigid folding roofs for vehicles.

GB978638: This patent appears to disclose a collapsible roof for motor vehicles.

WO 96/27509: A locking device for detachably securing a vehicle roof to an immovable part of the car body has at least one hook closure whose closing hook may be moved in the longitudinal direction between its unlocking position and its locking position. When the closing hook moves in the longitudinal direction, its end moves in the transverse direction between its hooked and its unhooked positions. Means for guiding the transverse movement of the hook end cooperate with positioning means arranged on the vehicle roof and on the part of the car body to which the vehicle roof is secured. The transverse movement of the hook end is guided by a slide guide of the closing hook by means of which the closing hook is mounted between its end areas.

C. ☐ The following additional information is provided for the Examiner's consideration.

IV. CROSS REFERENCE TO RELATED APPLICATION(S)

A. ☐ The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does (do) not waive the confidentiality provisions of 35 U.S.C. § 122.

Serial No.

Filing Date

Art Unit

V. THIS IDS IS BEING FILED UNDER

A. ☐ **37 C.F.R. § 1.97(b):** (check only one box)

1. ☐ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.

2. ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.

3. ☐ before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

4. ☐ before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.

B. ☒ **37 C.F.R. § 1.97(c):** (check only one box)

before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.

1. ☒ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

2. ☐ See the certification below. No fee is required.

C. ☐ 37 C.F.R. § 1.97(d):

after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.

1. ☐ See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)

The undersigned hereby certifies that:

A. ☐ each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. 1.704(d) below in section VII, if applicable; or

B. ☐ no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).

C. ☐ some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. STATEMENT UNDER 37 C.F.R. 1.704(d)

The undersigned hereby states that:

☐ each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box)

A. ☐ A check in the amount of \$180.00 is enclosed for the above identified fee.

B. ☒ Please charge Deposit Account No. 50-0275 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.


The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-0275.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 50-0275.

Respectfully submitted,

Dated: 10-11-2005

By: 
Jeffrey H. Urian
Reg. No. 46,232

Harness, Dickey & Pierce, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303
(248) 641-1600

JHU/csd

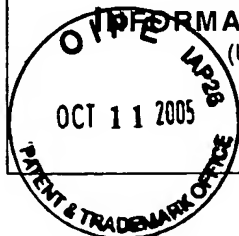
FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 21



ATTORNEY DOCKET NO.

5362-000478

SERIAL NO.

10/800,118

APPLICANT

Michael T. Willard

FILING DATE

March 12, 2004

GROUP

3612

U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		404,405	6/1889	Haughey		
2.		1,056,333	9/1913	Hill		
3.		1,174,366	3/1916	Van Den Plas		
4.		1,184,734	5/1916	Freeman		
5.		1,269,311	6/1918	Rixon		
6.		1,784,279	12/1930	Ellerbeck		
7.		1,831,494	11/1931	Hynes et al.		
8.		1,856,531	5/1932	Berg		
9.		1,892,512	12/1932	Kemp		
10.		1,905,814	4/1933	Orlow		
11.		1,929,371	10/1933	Hamilton		
12.		1,988,346	1/1935	Wagner		
13.		2,007,873	7/1935	Paulin		
14.		2,076,243	4/1937	Marshall et al.		
15.		2,201,330	5/1940	Wernig et al.		
16.		2,303,789	12/1942	Carr		
17.		2,360,524	10/1944	Simpson		
18.		2,372,583	3/1945	Keller		
19.		2,468,251	4/1949	Wiederman		
20.		2,486,905	11/1949	Ackermans		
21.		2,560,459	7/1951	Lundbert et al.		

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Sheet 2 of 21

ATTORNEY DOCKET NO.

SERIAL NO.

5362-000478

10/800,118

APPLICANT

Michael T. Willard

FILING DATE

GROUP

March 12, 2004

3612

U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
22.		2,564,446	8/1951	Parsons		
23.		2,570,260	10/1951	Milhan		
24.		2,570,261	10/1951	Milhan		
25.		2,580,486	1/1952	Vigmostad		
26.		2,596,355	5/1952	Ackermans		
27.		2,674,480	4/1954	Vigmostad		
28.		2,704,225	3/1955	Anschuetz et al.		
29.		2,709,621	5/1955	Votypka et al.		
30.		RE24,375	4/1956	Thompson III		
31.		2,747,928	5/1956	Olivier et al.		
32.		2,753,202	7/1956	Smith et al.		
33.		2,768,024	10/1956	Spear Jr.		
34.		2,768,025	10/1956	Spear Jr. et al.		
35.		2,785,914	3/1957	Thomas et al.		
36.		2,831,718	4/1958	Hallek et al.		
37.		2,841,441	7/1958	Evans		
38.		2,852,292	9/1958	Galla		
39.		2,856,231	10/1958	Zeman		
40.		2,869,923	1/1959	Mulichak		
41.		2,879,988	3/1959	Klisch		

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Sheet 3 of 21

ATTORNEY DOCKET NO.

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SERIAL NO.

10/800,118

APPLICANT

Michael T. Willard

FILING DATE

March 12, 2004

GROUP

3612

U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
42.		2,886,364	5/1959	Smith		
43.		2,919,156	12/1959	Dodge		
44.		2,916,327	12/1959	Gilson		
45.		2,926,943	3/1960	Leslie et al.		
46.		2,935,350	5/1960	Bestrom		
47.		2,939,742	6/1960	Dardarian et al.		
48.		2,997,337	8/1961	Day et al.		
49.		3,004,788	10/1961	Dully et al.		
50.		3,059,962	10/1962	Harms et al.		
51.		3,089,719	5/1963	Csizmansy		
52.		3,135,541	6/1964	Kwasek		
53.		3,154,341	10/1964	Booth		
54.		3,151,375	10/1964	Schevenell		
55.		3,172,695	3/1965	Bordinat Jr.		
56.		3,266,838	8/1966	Heincelman		
57.		3,353,864	11/1967	Antaya et al.		
58.		3,357,738	12/1967	Bourlier		
59.		3,362,740	1/1968	Burns		
60.		3,375,037	3/1968	Hunt Jr.		
61.		3,377,099	4/1968	Podolan		

Examiner:

Date Considered:

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FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 4 of 21	ATTORNEY DOCKET NO.	SERIAL NO.
	5362-000478	10/800,118
	APPLICANT	
	Michael T. Willard	
	FILING DATE	GROUP
	March 12, 2004	3612

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
62.		3,400,526	9/1968	Bloss		
63.		3,425,742	2/1969	Rauber Jr.		
64.		3,425,742	2/1969	Rauber Jr.		
65.		3,443,834	5/1969	Andres		
66.		3,575,464	4/1971	Himka et al.		
67.		3,586,360	6/1971	Perotta		
68.		3,712,665	1/1973	Klein		
69.		3,712,665	1/1973	Klein		
70.		3,751,949	8/1973	Castle		
71.		3,845,976	11/1974	Peterson		
72.		3,891,252	6/1975	Lehmann		
73.		3,994,524	11/1976	Lehmann		
74.		4,168,859	9/1979	Breitschwerdt et al.		
75.		4,439,649	3/1984	Cecchi		
76.		4,441,345	4/1984	Guarr		
77.		4,470,277	9/1984	Uyeda		
78.		4,537,440	8/1985	Brockaway et al.		
79.		4,573,732	3/1986	Muscat		
80.		4,618,180	10/1986	Muscat		
81.		4,634,171	1/1987	McKeag		

Examiner:	Date Considered:
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EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 5 of 21	ATTORNEY DOCKET NO.	SERIAL NO.
	5362-000478	10/800,118
	APPLICANT	
	Michael T. Willard	
	FILING DATE	GROUP
	March 12, 2004	3612

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
82.		4,664,436	5/1987	Eyb		
83.		4,702,505	10/1987	Alexander		
84.		4,711,485	12/1987	Maebayashi et al.		
85.		4,712,828	12/1987	Albrecht		
86.		4,720,133	1/1988	Alexander et al.		
87.		4,729,592	3/1988	Tuchiya et al.		
88.		4,746,163	5/1988	Muscat		
89.		4,776,630	10/1988	Fukotomi et al.		
90.		4,787,675	11/1988	McLeod		
91.		4,796,943	1/1989	Fukutomi et al.		
92.		4,801,173	1/1989	Trenkler		
93.		4,815,775	3/1989	Mertin et al.		
94.		4,817,999	4/1989	Drew		
95.		4,819,983	4/1989	Alexander et al.		
96.		4,830,426	5/1989	Schlachter et al.		
97.		4,830,425	5/1989	Muscat		
98.		4,852,935	8/1989	Varner		
99.		4,854,634	8/1989	Shiraishi et al.		
100.		4,895,409	1/1990	Konishi et al.		
101.		4,950,022	8/1990	Patte		

Examiner:	Date Considered:
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EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Sheet 6 of 21

ATTORNEY DOCKET NO.

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Michael T. Willard

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March 12, 2004

GROUP

3612

U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
102.		4,958,882	9/1990	Kolb		
103.		5,029,932	7/1991	Parr		
104.		5,033,789	7/1991	Hayashi et al.		
105.		5,035,461	7/1991	Zweigart		
106.		5,035,463	7/1991	Kato et al.		
107.		5,042,869	8/1991	Brin		
108.		5,046,767	9/1991	Muscat		
109.		5,052,740	10/1991	Bauer et al.		
110.		5,058,939	10/1991	Miilu		
111.		5,064,241	11/1991	Ohrle		
112.		5,067,768	11/1991	Fischbach		
113.		5,078,447	1/1992	Klein et al.		
114.		5,085,483	2/1992	Alexander		
115.		5,088,777	2/1992	Ono et al.		
116.		5,090,764	2/1992	Kogawa et al.		
117.		5,154,479	10/1992	Sautter Jr.		
118.		5,161,852	11/1992	Alexander et al.		
119.		5,186,516	2/1993	Alexander et al.		
120.		5,195,798	3/1993	Klein et al.		
121.		5,207,474	5/1993	Licher et al.		

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 7 of 21	ATTORNEY DOCKET NO.	SERIAL No.
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	Michael T. Willard	
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U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
122.		5,209,544	5/1993	Benedetto et al.		
123.		5,225,747	7/1993	Helms et al.		
124.		5,267,769	12/1993	Bonne et al.		
125.		5,269,586	12/1993	Hahn et al.		
126.		5,284,378	2/1994	Sautter Jr.		
127.		5,301,987	4/1994	Tokarz et al.		
128.		5,328,229	7/1994	Brandt et al.		
129.		5,413,390	5/1995	Filippi		
130.		5,429,400	7/1995	Kawaguchi et al.		
131.		5,429,409	7/1995	Corder et al.		
132.		5,435,615	7/1995	Schmitz		
133.		5,451,849	9/1995	Porter et al.		
134.		5,489,146	2/1996	Fischbach		
135.		5,490,709	2/1996	Rahn		
136.		5,520,432	5/1996	Gmeiner et al.		
137.		5,533,777	7/1996	Kleemann et al.		
138.		5,542,735	8/1996	Fürst et al.		
139.		5,593,202	1/1997	Corder et al.		
140.		5,595,407	1/1997	ter Horst et al.		
141.		5,620,226	4/1997	Sautter Jr.		

Examiner:	Date Considered:
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FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 8 of 21	ATTORNEY DOCKET NO.	SERIAL No.
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U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
142.		5,624,149	4/1997	Tokarz		
143.		5,645,309	7/1997	Graf		
144.		5,649,733	7/1997	Seel et al.		
145.		5,647,630	7/1997	Jambor et al.		
146.		5,678,881	10/1997	Tokarz		
147.		5,722,704	3/1998	Chaput et al.		
148.		5,743,587	4/1998	Alexander et al.		
149.		5,755,467	5/1998	Dilluvio et al.		
150.		5,772,275	6/1998	Tokarz		
151.		5,769,483	6/1998	Danzl et al.		
152.		5,772,274	6/1998	Tokarz		
153.		5,785,375	7/1998	Alexander et al.		
154.		5,806,912	9/1998	Ramaciotti et al.		
155.		D406,792	3/1999	Alexander et al.		
156.		5,904,394	5/1999	Dilluvio et al.		
157.		5,975,620	11/1999	Jambor et al.		
158.		5,979,970	11/1999	Rothe et al.		
159.		5,988,729	11/1999	Klein		
160.		6,007,143	12/1999	Lehmann et al.		
161.		6,010,178	1/2000	Hahn et al.		

Examiner:	Date Considered:
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U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
162.		6,019,416	2/2000	Beierl		
163.		6,030,023	2/2000	Guillez		
164.		6,033,008	3/2000	Mattila		
165.		6,033,009	3/2000	Ritter et al.		
166.		6,039,382	3/2000	Mather et al.		
167.		6,039,383	3/2000	Jambor et al.		
168.		6,042,174	3/2000	Durrani		
169.		6,053,560	4/2000	Rothe		
170.		6,062,625	5/2000	Elelnrieder et al.		
171.		D427,138	6/2000	Alexander et al.		
172.		6,155,614	12/2000	Lange		
173.		6,158,786	12/2000	Droste et al.		
174.		6,168,224	1/2001	Henn et al.		
175.		6,182,527	2/2001	Sander		
176.		6,213,534	4/2001	MacFarland		
177.		6,217,104	4/2001	Neubrand		
178.		6,227,604	5/2001	Grace		
179.		D442,541	5/2001	Alexander et al.		
180.		6,273,492	8/2001	Schroder et al.		
181.		6,273,492	8/2001	Schroder et al.		

Examiner:

Date Considered:

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U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
182.		6,283,532	9/2001	Neubrand		
183.		6,283,532	9/2001	Neubrand		
184.		6,299,234	10/2001	Seel et al.		
185.		6,305,737	10/2001	Corder et al.		
186.		6,312,041	11/2001	Queveau et al.		
187.		6,312,042	11/2001	Halbweiss		
188.		6,315,349	11/2001	Kinnanen		
189.		6,318,793	11/2001	Rapin et al.		
190.		6,325,446	12/2001	Wuellrich et al.		
191.		2001/0045749	11/2001	Russke		
192.		6,328,372	12/2001	Just		
193.		6,334,644	1/2002	Gurtler et al.		
194.		6,336,673	1/2002	Rothe et al.		
195.		6,347,828	2/2002	Rapin et al.		
196.		6,390,532	5/2002	MacFarland		
197.		6,419,297	7/2002	Haberl et al.		
198.		6,419,296	7/2002	Dintner et al.		
199.		6,422,637	7/2002	MacFarland		
200.		6,425,622	7/2002	Eberle		
201.		6,467,832	10/2002	Schutt et al.		

Examiner:

Date Considered:

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U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
202.		6,478,362	11/2002	Obendiek		
203.		6,497,446	12/2002	Obendiek		
204.		6,497,447	12/2002	Willard		
205.		6,502,891	1/2003	Russke		
206.		6,502,892	1/2003	Eberle		
207.		6,505,881	1/2003	Kinnanen		
208.		6,508,502	1/2003	Willard		
209.		6,520,560	2/2003	Schutt et al.		
210.		2003/0042751	3/2003	Antreich		
211.		6,572,175	6/2003	Schutt et al.		
212.		6,592,169	7/2003	Obendiek		
213.		6,604,775	8/2003	Obendiek		
214.		6,637,802	10/2003	Obendiek		
215.		6,648,397	11/2003	Schutt et al.		
215.		6,659,534	12/2003	Willard		
216.		6,666,495	12/2003	Nania		
216.		6,682,125	1/2004	Guillez et al.		
217.		6,695,386	2/2004	Willard		
218.		2004/0094988	5/2004	Doncov et al.		
219.		6,736,444	5/2004	Reinsch		

Examiner:

Date Considered:

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U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
220.		6,736,445	5/2004	Obendiek		
221.		6,767,044	7/2004	Tohda et al.		
222.		6,767,045	7/2004	Reinsch		
223.		2004/0174039	9/2004	Dilluvio		
224.		6,820,917	11/2004	Grubbs		
225.		6,830,284	12/2004	Guillez et al.		
226.		6,866,324	3/2005	Neubrand et al.		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.		352786	7/1931	Great Britain			
2.		413467	7/1934	Great Britain			
3.		646381	5/1937	Germany			X
4.		536578	5/1941	Great Britain			
5.		493260	5/1950	Belgium			X
6.		805229	5/1951	Europe			X
7.		1049026	12/1953	France			X
8.		756531	9/1956	Great Britain			
9.		978638	12/1964	Great Britain			
10.		1505474	7/1969	Germany			X

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FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
11.		1505721	7/1970	Germany			X
12.		1755619	8/1971	Germany			X
13.		3416286	10/1984	Germany		Abstract	
14.		650980	8/1985	Switzerland		Abstract	
15.		0188774	12/1985	Europe		Abstract	
16.		62120222	6/1987	Japan		Abstract	
17.		0261379	3/1988	Europe		Abstract	
18.		3635373	4/1988	Germany		Abstract	
19.		3635887	5/1988	Germany		Abstract	
20.		3733892	4/1989	Germany		Abstract	
21.		3816060	11/1989	Germany		Abstract	
22.		2144226	4/1990	Japan		Abstract	
23.		0494366	7/1992	Europe		Abstract	
24.		0429006	7/1992	Europe		Abstract	
25.		9108242	11/1992	Germany			X
26.		4316485	11/1994	Germany		Abstract	
27.		4320603	1/1995	Germany		Abstract	
28.		4324708	1/1995	Germany		Abstract	
29.		4438191	7/1995	Germany		Abstract	
30.		4438190	11/1995	Germany		Abstract	

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FOREIGN PATENT DOCUMENTS								
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No	
31.		4431656	12/1995	Germany		Abstract		
32.		4445580	12/1995	Germany		Abstract		
33.		4445941	3/1996	Germany		Abstract		
34.		WO96/27509	3/1996	WIPO			X	
35.		4445944	4/1996	Germany		Abstract		
36.		4446483	6/1996	Germany		Abstract		
37.		19517063	6/1996	Germany		Abstract		
38.		4445920	7/1996	Germany		Abstract		
39.		19514022	9/1996	Germany		Abstract		
40.		4415969	11/1996	Germany		Abstract		
41.		19518071	11/1996	Germany		Abstract		
42.		19532568	11/1996	Germany		Abstract		
43.		19532567	12/1996	Germany		Abstract		
44.		2818931	7/2002	France		Abstract		

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
1.		Alfa Romeo Proteo Or Alfa Romeo 164 Proteo / 1991 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
2.		Alfa Romeo Spider Rht / 2001 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)

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FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 15 of 21	ATTORNEY DOCKET NO.	SERIAL NO.
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
3.		Audi Quattro Roadster / 1984-1987 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
4.		Automobil Revue, September 5, 1991, cover page and page 29 (including English translation)
5.		Automotive Industries, February 1990, page 75, showing "C & C Intrigue".
6.		Bentley Retractable Hardtop / 1999 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
7.		Bérard Roadster / 2001 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
8.		BMW Klapp Top / 2000 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
9.		BMW Oasys Vision / 2002 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
10.		Buick Blackhawk / 2000 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
11.		C&C Intrigue / 1990 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
12.		Cadillac Allanté Charisma / 1994 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
13.		Cadillac Evoq / 1999 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
14.		Cadillac XLR / 2003-200x (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
15.		Car Styling 86, January 1992, cover page and pages 64-67 (including English translation)
16.		Chevrolet Corvette Retractable Hardtop / 1958 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)

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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
17.		Chevrolet SSR / 2000 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
18.		Chevrolet SSR / 2001-200x (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
19.		Chrysler Dart / 1957 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
20.		Chrysler Phaeton / 1997 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
21.		Chrysler Thunderbolt / 1941 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
22.		Citroën 11 Légère / 1952 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
23.		Citroën 15 Six / 1950 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
24.		Daihatsu Kopen / 1999 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
25.		Daihatsu Kopen / 2001 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
26.		Edsel Retractable Hardtop / 1958 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
27.		Edsel Skyliner / 1958 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
28.		Ferrari Testarossa St. Tropez / 1992 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
29.		Fiat Punto Wish / 1999 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
30.		Ford Fairlane 500 Skyliner / 1957 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)

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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
31.		Ford Fairlane 500 Skyliner / 1958 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
32.		Ford Focus Cabriolet Retractable Hardtop / 1999 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
33.		Ford Galaxie Skyliner / 1959 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
34.		Ford Mustang Restro-mod / 1964 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
35.		Ford Mustang Retractable Hardtop / 1964 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
36.		Ford Mustang Retractable Hardtop / 1966 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
37.		Gaylord Gladiator / 1955-1956 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
38.		Heuliez Hardtop Intruder / 1998 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
39.		Heuliez Raffica / 1992 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
40.		Heuliez Retractable 10 / 2001 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
41.		Heuliez Retractable 2 / 2000 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
42.		Honda Argento Vivo / 1995 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
43.		Hudson Super Six / 1922 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
44.		Hudson Super Six / 1923 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)

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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
45.		Introducing the Chevy SSR, August 2002, 2 pages (and description of corresponding public use).
46.		Isuzu VX-02 / 1999 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
47.		Karmann Coupé-Cabrio / 1999 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
48.		Keinath GT/R / 1996-200x (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
49.		Lada Roadster / 2000 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
50.		Lancia Belna Éclipse / 1934 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
51.		Lexus SC430 / 2000-200x (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
52.		Lexus Sport Coupé / 1999 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
53.		Mercedes-Benz GLK / 1999 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
54.		Mercedes-Benz Magic Top / 1984-1987 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
55.		Mercedes-Benz SL / 2001-200x (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
56.		Mercedes-Benz SLK Paris / 1994 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
57.		Mercedes-Benz SLK/ 1996-200x (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
58.		Michalak Corsaspider / 1984-1988 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)

Examiner:	Date Considered:
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FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 19 of 21	ATTORNEY DOCKET NO.	SERIAL NO.
	5362-000478	10/800,118
	APPLICANT	
	Michael T. Willard	
	FILING DATE	GROUP
	March 12, 2004	3612

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
59.		Mitsubishi 3000 GT Spyder / 1994-1996 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
60.		Mitsubishi Colt Aiolia / 1988 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
61.		Mohs Safarikar / 1972-1975 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
62.		Nissan 300 ZX / 1992 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
63.		Nissan Luc-2 / 1985 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
64.		Nissan Micra C+C / 2002 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
65.		Nissan Silvia Varietta / 1999 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
66.		Oldsmobile F-88 MK3 / 1959 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
67.		Opel Coupé Roadster / 2004-200x (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
68.		Paxton / 1951 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
69.		Peugeot 106 Spider / 1992 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
70.		Peugeot 20 / 1998 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
71.		Peugeot 202 Éclipse / 1938 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
72.		Peugeot 206 CC / 2000-200x (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)

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FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 20 of 21	ATTORNEY DOCKET NO.	SERIAL NO.
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	Michael T. Willard	
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
73.		Peugeot 206 CC Ciel Bleu / 2001 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
74.		Peugeot 301 Éclipse / 1934 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
75.		Peugeot 37 CC / 2002 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
76.		Peugeot 401d Éclipse / 1934-1935 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
77.		Peugeot 402 Éclipse Électrique / 1935-1936 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
78.		Peugeot 402 Éclipse Mécanique / 1936-1938 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
79.		Peugeot 402b Éclipse Mécanique / 1938-1939 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
80.		Peugeot 601c Éclipse / 1934 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
81.		Peugeot 601d Éclipse / 1934-1935 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
82.		Peugeot 601dl Éclipse / 1934 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
83.		Peugeot 607 Paladine / 2000 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
84.		Peugeot Crisalys / 1998 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
85.		Picture of 1957 Ford Fairlane retractable hardtop convertible, The Detroit News, April 6, 1994.
86.		Playboy / 1947-1951 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)

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87.		Scimitar Hard-Top Convertible / 1959 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
88.		Skyline X-50 / 1953 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
89.		Suzuki C2 / 1997 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
90.		Toyota MRJ / 1995 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
91.		Toyota Soarer / 2000-200x (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
92.		Treser T1 / 1987-198x (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
93.		Valmet Boreal / 1997 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
94.		VIPER PURE PERFORMANCE BY DODGE/Auto Editors of Consumer Guide, Publications International Ltd., pages 6-7, 1993.
95.		Volvo C70 Coupé Convertible / 2002 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
96.		Volvo C70 Hatric / 2001 (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)
97.		Volvo Lowrider / 19xx (believed to have been offered for sale, publicly used, and/or published prior to the filing date of this application)

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